CHANNEL ESTIMATION FOR THE ISDB-T_B FBMC SYSTEM USING NEURAL NETWORKS: A PROPOSAL OF APPLICATION OF BACK-PROPAGATION TRAINING ALGORITHM

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ABSTRACT

Due to the evolution of technology and the diffusion of digital television, many researchers have studied more efficient transmission and reception methods. This fact occurs because of the demand of transmitting videos with better quality using new standards such 8K SUPER Hi-VISION. In this scenario, modulation techniques such as Filter Bank Multi Carrier, associated with advanced coding and synchronization methods, are being applied, aiming to achieve the desired data rate to support ultra-high definition videos. Simultaneously, it is also important to investigate ways of channel estimation that enable a better reception of the transmitted signal. This task is not always trivial, depending of the characteristics of the channel. Thus, the use of artificial intelligence can contribute to estimate the channel frequency response, from the transmitted pilots. A classical algorithm called Back-propagation Training can be applied to find the channel equalizer coefficients, making possible the correct reception of TV signals. Therefore, this work presents a method of channel estimation that uses neural network techniques to obtain the channel response in the Brazilian Digital System Television, called ISDB-T_B, using Filter Bank Multi Carrier.

KEYWORDS

Channel estimation, Artificial intelligence, ISDB-T_B, FBMC, Neural Networks.

1. INTRODUCTION

Digital TV standards in current use allow for the transmission of standard or high definition video content. However, consumers are demanding more resolution for more realistic experiences while watching TV. Therefore, researchers all over the world are working in the development of the concepts that will enable the broadcast of ultra-high definition content. These concepts include novel modulation schemes, powerful channel estimation, intelligent receptors, antenna arrays, etc. Filter Bank Multi Carrier (FBMC) is a modulation technique that has been applied as an alternative to the Orthogonal Frequency Division Multiplexing (OFDM) [1]. This trend is due to the fact that FBMC does not use the Cyclic Prefix (CP), increasing significantly the system data rate [2]. Thus, when FBMC is applied to digital television system such as Integrated Services

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Digital Broadcasting Terrestrial B (ISDB- T_B) associated with channel coding and synchronization techniques such as Low Density Parity Check (LDPC) and Bootstrap, 8K transmission may be made viable. Nevertheless, the transmission of more information per second using the same frequency bandwidth leads to an increase in bit error rate if improved channel estimation algorithms, powerful error correcting codes and novel equalizers are not used. However, the channel estimation becomes a little more complex, due to the characteristics of the used filters and degrading components present on the channel [3]. In this article, an intelligent channel estimation algorithm using Neural networks is reported.

The channel estimation is a crucial stage for the perfect reception of digital TV signals because of the interferences that are generated by several sources on the channel. In the specific case of the terrestrial broadcast, these are Additive White Gaussian Noise (AWGN), multipath, and others [4]. Therefore, it is necessary to use different techniques and processes that make possible the removal and minimization of this effects, allowing the reception of the transmitted signal in an appropriated way.

Several techniques can be used to estimate the frequency response of the channel. Among them, we can highlight those that use pilots associated to interpolation methods that adequately minimize the AWGN and multipath effect [5]. However, this process is not always trivial, and can be improved with the use of Artificial Intelligence (AI).

The AI can be understood as a set of algorithms to solve complex problems, being able to resolve, to make decisions, and to develop a method of learning, according to the situation to which it is applied [6]. In this context, Neural Networks (NN)are used to solve problems through the simulation the connection between brain neurons, using specific activation and training algorithms [7].

The herein proposed channel estimation method uses a NN, trained through the Back-propagation algorithm to calculate the channel response and to permit the correct equalization in a scenario with AWGN and multi paths. This technique is applied to an FBMC version of the ISDB- T_B digital TV standard. The overall system is simulated on GNUR adio environment. The presented results show the feasibility of this new system even when transmission id performed in a channel with severe multipath interference.

2. ISDB-T_B

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The Brazilian Digital Television System (SBTVD),ISDB- T_B , is the terrestrial digital TV standard adopted by 18 countries in the world. It employs a bandwidth of 6, 7, or 8 MHz and can transmit One-Segment (1SEG), Standard Definition Television (SDTV) and High Definition Television (HDTV), according to the combination chosen for the 13 Segments available. In the Figure 1 is possible to see the bandwidth segmentation used in 6 MHz. The 3 modes of operation have different parameters that are detailed in Table I [8].

| Mode | Parameters | | | | | |
|------|------------|-----------------|--------|---------------|------|--|
| | Carriers | Useful Carriers | Pilots | Symbol period | IFFT | |
| 1 | 1405 | 1248 | 157 | 0,252 ms | 2048 | |
| 2 | 2809 | 2496 | 313 | 0,504 ms | 4096 | |
| 3 | 5617 | 4992 | 625 | 1,008 ms | 8192 | |

Table 1. ISDB-T_B transmission parameters.



Figure 1. Segmentation of the ISDB- T_B channel.

3. FBMC

The Filter Bank Multi Carrier (FBMC) modulation technique consists of dividing the available band into small equally spaced small segments [9]. For this purpose, filter banks complying with (1) are used.

$$B_{k}(f) = H\left(f - \frac{k}{M}\right) = \sum_{i=0}^{L-1} h_{i} e^{-j2\pi i \left(f - \frac{k}{M}\right)}$$
(1)

where, f is the frequency, M is the number of subcarriers, L is the number of filter coefficients, and $k = 0 \dots M - 1$.

Applying the Z transform, the polyphase decomposition, and making $W_M = e^{-\frac{2j\pi}{M}}$, (2) can be derived.

$$B_k(z) = \sum_{p=0}^{M-1} W_M^{-kp} z^{-p} H_p(z^M)$$
(2)

By expressing this equation in matrix notation, (3) is obtained.

$$\begin{bmatrix} B_0(z) \\ \vdots \\ B_{M-1}(z) \end{bmatrix} = \begin{bmatrix} 1 & \cdots & 1 \\ \vdots & \ddots & \vdots \\ 1 & \cdots & W_M^{-(M+1)^2} \end{bmatrix} \begin{bmatrix} H_0(z^M) \\ \vdots \\ z^{-(M-1)} H_{M-1}(z^M) \end{bmatrix}$$
(3)

The implementation of this equation is depicted in the block diagram in the Figure 2.



Figure 2. FBMC system model.

The filters are designed according to the zero inter-symbol interference Nyquist criterion to avoid phase and amplitude distortions [10]. FBMC employs Offset Quadrature Modulation (OQAM), so that the orthogonality is obtained between symbols and not between subcarriers [11]. Thus, it is not necessary to use the CP, making it possible to increase the data rate of the system.

4. PILOT BASED CHANNEL ESTIMATION

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To estimate the frequency response of the channel, ISDB-T_B performs the constant transmission of pilots. The position of the pilots depends on a Pseudorandom Binary Sequence (PRBS) sequence that has a generator polynomial equal to $x^{11} + x^9 + 1$ [8].

After the transfer function (*Hp*) is found using (4), where $Y_p(k)$ an $X_p(k)$ are the pilot amplitudes received and transmitted through the k - th subcarrier, an interpolation method that can be linear, cubic, among others, is used to estimate the responses of the other subcarriers.

$$H_p(k) = \frac{Y_P(k)}{X_p(k)} \tag{4}$$

In the case of linear estimation, we use (5).

$$H(k) = (1 - a) \cdot H_{p}(k) + a \cdot H_{p}(k + 1)$$
(5)

where *a* is a constant determined by the relation between the distance of the position of the subcarrier where it is desired to estimate the response of the channel to the position of the nearest pilot.

In the case of cubic interpolation, (6) is used.

$$H(k) = A(a) \cdot H_p(k) + B(a) \cdot H_p(k+1) + C(a) \cdot z(k) + D(a) \cdot z(k+1)$$
(6)

where A(a), B(a), C(a), and D(a) are constants related to a and z(m) is the second derivative obtained from the pilot information matrix [12].

5. NEURAL NETWORKS

Neural networks can be understood as algorithms that seek to simulate the functioning of the human brain, starting from the construction of small computational entities that act as a human neuron [13]. To do so, we use units called perceptrons (Figure 3) which have as input parameters an input (x), a gain (w), an activation function (f) and an output (y).



Figure 3. Perceptron.

The activation function can be linear or not depending on the desired application. The most common are the logarithm and the sigmoid. Perceptrons can be combined to form layers that are interconnected to generate larger and more complex networks. For the network to work correctly, it is necessary to perform the network training, using a set of known inputs and outputs, so that the gains are properly adjusted and the actual inputs generate the desired responses [14]. Among the training techniques, one of the most used is the Back-propagation Training Algorithm.

5.1. Back-propagation Training Algorithm

This technique uses a generalization of the Least Mean Square [15]. The activation function is defined as (7).

$$f(\alpha) = \frac{1}{1 - e^{-\alpha}} \tag{7}$$

Initially random weights (w) are defined for the inputs (x). Then from the desired response (d) the error is calculated by (8).

$$erro = 0.5 \cdot (d - y)^2 \tag{8}$$

where *y* is the output of the activation function.

Then the weights are updated, using (9).

$$w(t+1) = w(t) - \delta \cdot erro \cdot x \tag{9}$$

where t is the previous iteration of the algorithm has been set and δ is a chosen gain. Finally, the algorithm is repeated until the desired response is obtained at the output of the system and the weights are properly adjusted.

6. PREVIOUS DEVELOPED MODEL OF ISDB-T_B USING FBMC

In [2] and [16], a modified ISDB- T_B system using FBMC was developed, using GNU Radio Companion (GRC) as simulation environment. The channel estimation algorithm used did not employ any Artificial Intelligence feature. For this reason, the present work expands those articles by using a different approach based on Neural Networks.

6.1. GRC

The GRC is a computational tool that allows the development of processing blocks to for simulating communications systems [17]. It is an open source and free software that makes possible the interface between the created model and software radio peripherals [18]. It uses a Graphical User Interface (GUI) that facilitates the software handling [19] [20].

The block codes are created using the C/C++ or Phyton languages and the interconnection among these blocks is described only in Phyton [21].

The processed data sources on GRC are of complex (8 bytes), float (4 bytes), int (2 bytes), or byte (1 byte) types. The used terminology of GRC is presented on Table II [22].

| Name | Definition | |
|------------|--------------------------------------|--|
| Block | Processing Unit with ins or outs | |
| Port | Block in or out | |
| Source | Data generator | |
| Sink | Data consumer | |
| Connection | Data flow from an output to an input | |
| Flow Graph | Set of blocks and connections | |
| Item | Data unit | |
| Stream | Continuous flow of items | |

| Table 2. | GRC | terminol | logy. |
|----------|-----|----------|-------|
|----------|-----|----------|-------|

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| Name | Definition | |
|--------------|------------------------|--|
| IO Signature | In and out description | |

Then using GRC and programming language the system can be simulated.

6.2. Flow Graph of ISDB-T_B FBMC

The transmitter implemented on GRC is presented in the diagram shown on Figure 4.



Figure 4. ISDB-T_B FBMC transmitter.

As it can be seen at the transmission side, an information source generates data that is modulated, formatted according to the standard, processed by OQAM pre-processing, multiplied by Beta, modulated through the IFFT and Synthesis filters and transmitted through the channel.

The receiver diagram is shown in the Figure 5.



Figure 5. ISDB-T_B FBMC receiver.

At the reception, the data goes through the analysis filters and FFT, multiplied by conjugated Beta, processed in OQAM post processing. After the zeros and pilots are removed, the data is decoded to calculate the Bit Error Rate (BER).

The GRC environment flow graph is depicted in Figure 6.

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Figure 6. Flow Graph of ISDB-T_BFBMC.

7. PROPOSED IA ESTIMATION METHOD

To accomplish the AI estimation, a simple NN with one perceptron for each real and imaginary part of received symbol is used. When the system initiates, four FBMC symbols are sent as training sequence and the weights of NN are trained using the Back-propagation method. Then regular operation starts and the received data symbols are equalized by the trained system.

The Flow Graph used was shown in Figure 5. Inside the "ISDBT_B_deframe" hierarchical block, three different channel estimators were implemented: the linear interpolation and the cubic interpolation, both at the time and frequency, and a neural network estimator trained with the Back-propagation technique (Figure 7).



Figure 7. Content of the hierarchical block "ISDBT_B_deframe".

Thus, it was connected each channel estimator ate the system and the results could be collected.

5. RESULTS

The analysis were made using the ISDB- T_B FBMC in mode 3 as in Table I. The Bit Error Rate (BER) curves were observed on two scenarios. The first is characterized by AWGN and modulation level equal to 64 (64-QAM) or 6 bits per symbol (Figure 8). The second uses the Brazil A digital TV channel model [21], which applies 6 paths with 0, 0.15, 2.2, 3.05, 5.86, and 5.93 microseconds of delay and 0, 13.8, 16.2, 14.9, 13.6, and 16.4dB of attenuation respectively, using the modulation level 4 (4-QAM) (Figure 9). In this last case, it was necessary to reduce the QAM modulation due to the long time required to perform the real-time simulation.

It can be observed that the use of neural networks brought to the system an increase of robustness at 10^{-5} BER level around to 2.1*dB* in the case only of AWGN and around to 2*dB* in the case which there is AWGN and multipath.



Figure 8. BER curves of ISDB-T_BFBM Cusing 64-QAM with AWGN inserted.



Figure 9. BER curves of ISDB-T_BFBM Cusing 4-QAM with AWGN and multipath inserted.

6. CONCLUSION

Current digital TV standards were established to enable the broadcast of standard or high definition video. Nevertheless, nowadays consumers are demanding even higher definition content. For this reason, researchers are working on new standards that will enable a higher information transmission rate in terms of bits/s/Hz. This is the case of FBMC which was also proposed for forthcoming 5G cellular standard. But this increase in bit rate on the same frequency bandwidth implies in an increase in bit error rate if novel channel estimation and equalization are not created.

The use of artificial intelligence applied to channel estimators for FBMC opens a new field of research. The possibility of employing smart algorithms that can learn even in the presence of nonlinear interference is paramount to the success of more spectrally efficient modulation techniques.

In this paper, it was showed that the application of a simple neural network to the problem of channel estimation in the FBMC modified ISDB-Tb digital TV standard is feasible. The presented technique achieved an increase in 15% in robustnessin a channel with several multipath interferences. It was also shown that the Back-propagation training algorithm allows the estimation of the channel frequency response and contributes to minimize the bit error rate In future, other kind of neural networks will be investigated, such as a recursive network, since it can improve the results and required computing effort.

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